

4-20-00

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REV. 12/99
For Other Than A Small Entity

Docket No. CF/002



Applicant(s) : Stuart A. Fraser, et al.
For : SYSTEMS AND METHODS FOR TRADING

04/19/00
jc511 U.S. PTO
09/553423

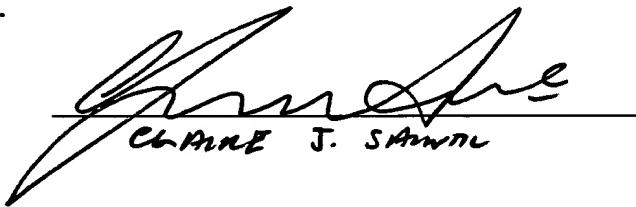


EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EK709408003US.

Date of Deposit April 19, 2000.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Stuart A. Fraser
CHAMIE J. SHAWNE

Assistant Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the [X] specification; [X] claims; [X] abstract; [X] unexecuted declaration and power of attorney, for the above-identified patent application.

Also transmitted herewith are:

[X] 32 sheets of:

[] Formal drawings.

[X] Informal drawings. Formal drawings will be filed during the pendency of this application.

Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

(country)	(appln. no.)	(filed)

from which priority is claimed.

An assignment of the invention to _____.

A check in the amount of \$40.00 to cover the recording fee.

Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

An associate power of attorney.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$690.00
TOTAL CLAIMS	37	- 20 =	17	X \$ 18 = \$306.00
INDEPENDENT CLAIMS	15	- 3 =	12	X \$ 78 = \$936.00
[] MULTIPLE DEPENDENT CLAIMS			+ \$260 =	\$0.00
			TOTAL	<u>\$1,932.00</u>

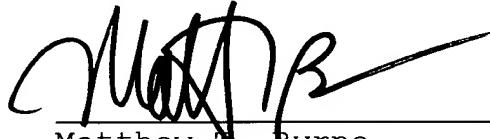
A check in the amount of \$1,932.00 in payment of the filing fee is transmitted herewith.

This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



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